

Please type a plus sign (+) inside this box → ☐

PTO/SB/01 (10-00)  
Approved for use through 10/31/2002. OMB 0651-0032  
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**DECLARATION FOR UTILITY OR  
DESIGN  
PATENT APPLICATION  
(37 CFR 1.63)**

☐ Declaration Submitted with Initial Filing  
OR  
☒ Declaration Submitted after Initial Filing (surcharge (37 CFR 1.16 (e)) required)

<b>Attorney Docket Number</b>	78.US4.CIP
<b>First Named Inventor</b>	Dumas Milne Edwards, et al
<b>COMPLETE IF KNOWN</b>	
<b>Application Number</b>	09 / 876,997
<b>Filing Date</b>	June 8, 2001
<b>Group Art Unit</b>	Unknown
<b>Examiner Name</b>	Unassigned

**As a below named inventor, I hereby declare that:**

My residence, mailing address, and citizenship are as stated below next to my name.

I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled:

Full-Length Human cDNAs Encoding Potentially Secreted Proteins

(Title of the Invention)

the specification of which

☐ is attached hereto

OR

☒ was filed on (MM/DD/YYYY)

June 8, 2001

as United States Application Number or PCT International

Application Number

and was amended on (MM/DD/YYYY)

(if applicable).

I hereby state that I have reviewed and understand the contents of the above identified specification, including the claims, as amended by any amendment specifically referred to above.

I acknowledge the duty to disclose information which is material to patentability as defined in 37 CFR 1.56, including for continuation-in-part applications, material information which became available between the filing date of the prior application and the national or PCT international filing date of the continuation-in-part application.

I hereby claim foreign priority benefits under 35 U.S.C. 119(a)-(d) or 365(b) of any foreign application(s) for patent or inventor's certificate, or 365(a) of any PCT international application which designated at least one country other than the United States of America, listed below and have also identified below, by checking the box, any foreign application for patent or inventor's certificate, or any PCT international application having a filing date before that of the application on which priority is claimed.

Prior Foreign Application Number(s)	Country	Foreign Filing Date (MM/DD/YYYY)	Priority Not Claimed	Certified Copy Attached?	
				YES	NO
			<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
			<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
			<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
			<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>

☐ Additional foreign application numbers are listed on a supplemental priority data sheet PTO/SB/02B attached hereto:

I hereby claim the benefit under 35 U.S.C. 119(e) of any United States provisional application(s) listed below.

Application Number(s)	Filing Date (MM/DD/YYYY)	<input type="checkbox"/> Additional provisional application numbers are listed on a supplemental priority data sheet PTO/SB/02B attached hereto.

[Page 1 of 2]

Burden Hour Statement: This form is estimated to take 21 minutes to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

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## DECLARATION — Utility or Design Patent Application

Direct all correspondence to: ☒ Customer Number or Bar Code Label  OR ☒ Correspondence address below

Name John Lucas, Ph.D., J.D.

Address Genset Corporation

Address 10665 Sorrento Valley Road

City San Diego State CA ZIP 92121-1609

Country USA Telephone 858/597-2600 Fax 858/597-2601

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under 18 U.S.C. 1001 and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

NAME OF SOLE OR FIRST INVENTOR :

☐ A petition has been filed for this unsigned inventor

Given Name Jean-Baptiste  
(first and middle [if any])

Family Name Dumas Milne Edwards  
or Surname

Inventor's  
Signature

Date

Residence: City Paris State Country France Citizenship French

Mailing Address 8, rue Gregoire de Tours

Mailing Address

City Paris State ZIP 75006 Country France

NAME OF SECOND INVENTOR:

☐ A petition has been filed for this unsigned inventor

Given Name Lydie  
(first and middle [if any])

Family Name Bougueleret  
or Surname

Inventor's  
Signature

Date

Residence: City Petit Lancy State Switzerland Country French

Mailing Address 22, Vieux Chemin d'Onex

Mailing Address

City Petit Lancy State ZIP 1213 Country Switzerland

☒ Additional inventors are being named on the \_\_\_1\_\_\_ supplemental Additional Inventor(s) sheet(s) PTO/SB/02A attached hereto.

Please type a plus sign (+) inside this box → ☐

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## DECLARATION — Utility or Design Patent Application

Direct all correspondence to: ☒ Customer Number or Bar Code Label 000027206 OR ☒ Correspondence address below

Name John Lucas, Ph.D., J.D.

Address Genset Corporation

Address 10665 Sorrento Valley Road

City San Diego State CA ZIP 92121-1609

Country USA Telephone 858/597-2600 Fax 858/597-2601

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NAME OF SOLE OR FIRST INVENTOR :

☐ A petition has been filed for this unsigned inventor

Given Name Jean-Baptiste  
(first and middle [if any])

Family Name Dumas Milne Edwards  
or Surname

Inventor's  
Signature

Date

Residence: City Paris State Country France Citizenship French

Mailing Address 8, rue Gregoire de Tours

Mailing Address

City Paris State ZIP 75006 Country France

NAME OF SECOND INVENTOR:

☐ A petition has been filed for this unsigned inventor

Given Name Lydie  
(first and middle [if any])

Family Name Bougueleret  
or Surname

Inventor's  
Signature

17.07.01  
Date

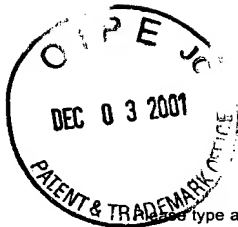
Residence: City Petit Lancy State Switzerland Country French

Mailing Address 22, Vieux Chemin d'Onex

Mailing Address

City Petit Lancy State ZIP 1213 Country Switzerland

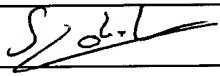
☒ Additional inventors are being named on the 1 supplemental Additional Inventor(s) sheet(s) PTO/SB/02A attached hereto.



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PTO/SB/02A (11-00)  
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<b>DECLARATION</b>	<b>ADDITIONAL INVENTOR(S)</b> <b>Supplemental Sheet</b> Page <u>1</u> of <u>1</u>
--------------------	---

<b>Name of Additional Joint Inventor, if any:</b>		<input type="checkbox"/> A petition has been filed for this unsigned inventor	
Given Name (first and middle [if any])		Family Name or Surname	
Severin		Jobert	
Inventor's Signature 		Date 18/06/2001	
Residence: City Paris	State	Country France	Citizenship French
Mailing Address 7, impasse Tourneux			
Mailing Address			
City Paris	State	ZIP 75012	Country France
<b>Name of Additional Joint Inventor, if any:</b>		<input type="checkbox"/> A petition has been filed for this unsigned inventor	
Given Name (first and middle [if any])		Family Name or Surname	
Inventor's Signature		Date	
Residence: City	State	Country	Citizenship
Mailing Address			
Mailing Address			
City	State	ZIP	Country
<b>Name of Additional Joint Inventor, if any:</b>		<input type="checkbox"/> A petition has been filed for this unsigned inventor	
Given Name (first and middle [if any])		Family Name or Surname	
Inventor's Signature		Date	
Residence: City	State	Country	Citizenship
Mailing Address			
Mailing Address			
City	State	ZIP	Country

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PTO/SB/81 (10-00)

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## POWER OF ATTORNEY OR AUTHORIZATION OF AGENT

Application Number	09/876,997
Filing Date	June 8, 2001
First Named Inventor	DUMAS MILNE EDWARD, et al.
Group Art Unit	Unknown
Examiner Name	Unassigned
Attorney Docket Number	78.US4.CIP

I hereby appoint:

☐ Practitioners at Customer Number

000027206

Place Customer  
Number Bar Code  
Label here

OR

☒ Practitioner(s) named below:

Name	Registration Number
John M. Lucas	43,373
Peter Follette	46,213
Lukas R. Voellmy	43,358

as my/our attorney(s) or agent(s) to prosecute the application identified above, and to transact all business in the United States Patent and Trademark Office connected therewith.

Please change the correspondence address for the above-identified application to:

☐ The above-mentioned Customer Number.

OR

<input checked="" type="checkbox"/> Firm or Individual Name	John Lucas, Ph.D., J.D.				
Address	Genset Corporation				
Address	10665 Sorrento Valley Road				
City	San Diego	State	CA	Zip	92121-1609
Country	USA				
Telephone	(858) 597-2600	Fax	(848) 597-2601		

I am the:

☒ Applicant/Inventor.

☐ Assignee of record of the entire interest. See 37 CFR 3.71.  
Statement under 37 CFR 3.73(b) is enclosed. (Form PTO/SB/96).

### SIGNATURE of Applicant or Assignee of Record

Name	Jean-Baptiste Dumas Milne Edwards
Signature	
Date	12 April 2001

NOTE: Signatures of all the inventors or assignees of record of the entire interest or their representative(s) are required. Submit multiple forms if more than one signature is required, see below\*.

☐ \*Total of \_\_\_\_\_ forms are submitted.



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PTO/SB/81 (10-00)

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### SIGNATURE of Applicant or Assignee of Record

Name Lydie Bougueleret

Signature

Date 17-07-01

NOTE: Signatures of all the inventors or assignees of record of the entire interest or their representative(s) are required. Submit multiple forms if more than one signature is required, see below\*.

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Group Art Unit	Unknown
Examiner Name	Unassigned
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Country USA

Telephone (858) 597-2600 Fax (848) 597-2601

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### SIGNATURE of Applicant or Assignee of Record

Name Severin Jobert

Signature

Date

18/06/2001

NOTE: Signatures of all the inventors or assignees of record of the entire interest or their representative(s) are required. Submit multiple forms if more than one signature is required, see below\*.

☐ \*Total of \_\_\_\_\_ forms are submitted.

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ASSIGNMENT

WHEREAS, We,

- 1) **JEAN-BAPTISTE DUMAS MILNE EDWARDS**, a French citizen,  
residing at **8, rue Gregoire de Tours,  
75006, Paris France**
- 2) **LYDIE BOUGUELERET**, a French citizen,  
residing at **22, Vieux Chemin d'Onex,  
1213 Petit Lancy, Switzerland,**
- 3) **SEVERIN JOBERT**, a French citizen,  
residing at **7, impasse Tourneux,  
75012 Paris, France**

have invented certain new and useful improvements in a **FULL-LENGTH cDNAs ENCODING POTENTIALLY SECRETED PROTEINS**; for which we have filed an application for Letters Patent in the United States:

Application Serial No.: 09/876,997  
Filed: June 8, 2001

AND WHEREAS, **GENSET, S.A.** (hereinafter "ASSIGNEE"), a French Corporation, with its principal place of business at 24, rue Royale, 75008 Paris, France, desires to acquire the entire right, title, and interest in and to the said improvements and the said Application:

NOW, THEREFORE, in consideration of the sum of One Dollar (\$1.00) to me in hand paid, and other good and valuable consideration, the receipt of which is hereby acknowledged, we, the said inventors, do hereby acknowledge that we have sold, assigned, transferred and set over, and by these presents do hereby sell, assign, transfer and set over, unto the said ASSIGNEE, its successors, legal representatives and assigns, the entire right, title, and interest throughout the world in, to and under the said improvements, and the said application and all divisions, renewals and continuations thereof, and all Letters Patent of the United States which may be granted thereon and all reissues and extensions thereof, and all rights of priority under International Conventions and applications for Letters Patent which may hereafter be filed for said improvements in any country or countries foreign to the United States, and all Letters Patent which may be granted for said improvements in any country or countries foreign to the United States and all extensions, renewals and reissues thereof; and we hereby authorize and request the Commissioner of Patents of the United States, and any Official of any country or countries foreign to the United States, whose duty it is to issue patents on applications as aforesaid, to issue all Letters Patent for said improvements to the said ASSIGNEE, its successors, legal representatives and assigns, in accordance with the terms of this instrument.



AND WE HEREBY covenant and agree that we will communicate to the said ASSIGNEE, successors, legal representatives and assigns, any facts known to us respecting said improvements, and testify in any legal proceeding, sign all lawful papers, execute all divisional, continuing and reissue applications, make all rightful oaths and generally do everything possible to aid the said ASSIGNEE, its successors, legal representatives and assigns, to obtain and enforce proper patent protection for said improvements in all countries.

SIGNED this 12 day of August 2001.



**JEAN-BAPTISTE DUMAS MILNE EDWARDS**

Witnessed by: Name: Sylvie Dumas  
*Please Print or Type Name*

Signature: Sylvie

SIGNED this 12 day of August 2001.

**LYDIE BOUGUELERET**

Witnessed by: Name: \_\_\_\_\_  
*Please Print or Type Name*

Signature: \_\_\_\_\_

SIGNED this \_\_\_\_\_ day of \_\_\_\_\_ 2001.

**SEVERIN JOBERT**

Witnessed by: Name: \_\_\_\_\_  
*Please Print or Type Name*

Signature: \_\_\_\_\_

ASSIGNMENT

WHEREAS, We,

- 1) **JEAN-BAPTISTE DUMAS MILNE EDWARDS**, a French citizen,  
residing at **8, rue Gregoire de Tours,  
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- 2) **LYDIE BOUGUELERET**, a French citizen,  
residing at **22, Vieux Chemin d'Onex,  
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AND WE HEREBY covenant and agree that we will communicate to the said ASSIGNEE, successors, legal representatives and assigns, any facts known to us respecting said improvements, and testify in any legal proceeding, sign all lawful papers, execute all divisional, continuing and reissue applications, make all rightful oaths and generally do everything possible to aid the said ASSIGNEE, its successors, legal representatives and assigns, to obtain and enforce proper patent protection for said improvements in all countries.

SIGNED this \_\_\_\_ day of \_\_\_\_\_ 2001.

JEAN-BAPTISTE DUMAS MILNE EDWARDS

Witnessed by: Name: \_\_\_\_\_  
*Please Print or Type Name*

Signature: \_\_\_\_\_

SIGNED this 17 day of february 2001.

LYDIE BOUGHETERET

Witnessed by: Name: SARINE NALAESI  
*Please Print or Type Name*

Signature: \_\_\_\_\_

SIGNED this \_\_\_\_ day of \_\_\_\_\_ 2001.

SEVERIN JOBERT

Witnessed by: Name: \_\_\_\_\_  
*Please Print or Type Name*

Signature: \_\_\_\_\_

ASSIGNMENT

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SIGNED this \_\_\_\_\_ day of \_\_\_\_\_ 2001.

**JEAN-BAPTISTE DUMAS MILNE EDWARDS**

Witnessed by: Name: \_\_\_\_\_  
*Please Print or Type Name*

Signature: \_\_\_\_\_

SIGNED this \_\_\_\_\_ day of \_\_\_\_\_ 2001.

**LYDIE BOUGUELERET**

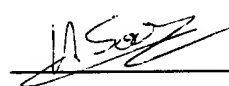
Witnessed by: Name: \_\_\_\_\_  
*Please Print or Type Name*

Signature: \_\_\_\_\_

SIGNED this 18 day of june 2001.

**SEVERIN JOBERT**

Witnessed by: Name: Hélène SARRASECA  
*Please Print or Type Name*

Signature: 



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#5

**STATEMENT UNDER 37 CFR 3.73(b)**

Applicant/Patent Owner: \_\_\_\_\_ Dumas Milne Edwards, et al.  
Application No./Patent No.: \_\_\_\_\_ 09/876,997 \_\_\_\_\_ Filed/Issue Date: \_\_\_\_\_ 06/08/2001  
Entitled: \_\_\_\_\_ Full-Length Human cDNAs Encoding Potentially Secreted Proteins  
\_\_\_\_\_ GENSET \_\_\_\_\_, a \_\_\_\_\_ corporation  
(Name of Assignee) (Type of Assignee, e.g., corporation, partnership, university, government agency, etc.)

states that it is:

1. ☒ the assignee of the entire right, title, and interest; or  
2. ☐ an assignee of less than the entire right, title and interest.  
The extent (by, percentage) of its ownership interest is \_\_\_\_\_ %

in the patent application/patent identified above by virtue of either:

- A. ☐ An assignment from the inventor(s) of the patent application/patent identified above. The assignment was recorded in the United States Patent and Trademark Office at Reel \_\_\_\_\_, Frame \_\_\_\_\_, or for which a copy thereof is attached.

OR

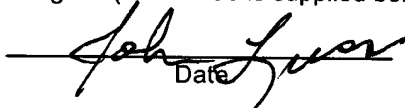
- B. ☐ A chain of title from the inventor(s), of the patent application/patent identified above, to the current assignee as shown below:

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The undersigned (whose title is supplied below) is authorized to act on behalf of the assignee.

  
Date

John Lucas, Ph.D., J.D.

Typed or printed name

Signature

Director, Intellectual Property

Title